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Docket No.: E3331.0111/P111
(PATENT)

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Patent Application of:
Edward Howorka

Application No.: 09/707,824

Art Unit: 3671

Filed: November 7, 2000

Examiner: T. A. Beach

For: ANONYMOUS TRADING SYSTEM WITH
IMPROVED QUOTE INPUT
CAPABILITIES

RESPONSE TO NOTICE OF NON-COMPLIANT AMENDMENT

U.S. Patent and Trademark Office
2011 South Clark Place
Customer Window, Mail Stop Non-Fee Amendment
Crystal Plaza Two, Lobby, Room 1B03
Arlington, VA 22202

Dear Sir:

INTRODUCTORY COMMENTS

A response to the Office Action dated October 22, 2003 (Paper No. 5), together with a Petition for Extension of Time was timely filed in the U.S. Patent and Trademark Office on April 8, 2004. However, Applicant has received a Notice of Non-Complaint Amendment, mailed May 13, 2004, which requires that a new "Amendments to the Claims" section of the Amendment be submitted.

The following "Amendments to the Claims" section includes a listing and designation for each claim ever present in this application. It is requested that this replacement section be substituted for the Amendments to the Claims section in the previously filed Amendment. Since that paper was believed to place this case in condition

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for allowance, issuance of a Notice of Allowance in response to this paper is respectfully requested.

Amendments to the Claims are reflected in the listing of claims which begins on page 3 of this paper. Please substitute it for the corresponding section of the Amendment filed April 8, 2004.

If this communication is filed after the time period for reply to the Notice of Non-Compliant Amendment had elapsed and no separate Petition is enclosed, the Commissioner of Patents and Trademarks is petitioned, under 37 CFR 1.136(a), to extend the time for filing a response by the number of months which will avoid abandonment under 37 CFR 1.135. The fee under 37 CFR 1.17 should be charged to our Deposit Account No. 50-2215.